

Applicant:

Iwafuchi et al.

Appl. No.:

10/024,690

Conf. No.:

2929

Filed:

December 14, 2001

Title:

A METHOD OF TRANSFERRING A DEVICE, A METHOD OF PRODUCING

A DEVICE HOLDING SUBSTRATE, AND A DEVICE HOLDING

**SUBSTRATE** 

Art Unit:

2825

Examiner:

C. Everhart

Docket No.:

112857-306

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

#### **U.S. PATENT DOCUMENTS**

Document No.	<u>Date</u>	<u>Inventor</u>
5,206,749	04-27-93	Zavracky et al.
5,258,320	11-02-93	Zavracky et al.
5,438,241	08-01-95	Zavracky et al.
5,739,800	04-14-98	Lebby et al.
6,204,079	03-2001	Aspar et al.
6,277,711	08-2001	Wu, Jiahn-Chang
6,283,693	09-2001	Acello et al.
2001/0018229	08-2001	Kato et al.

18/86/2883 MROCHA1 88888841 18824698

01 FC:1806

188.08 OP

### **FOREIGN PATENT DOCUMENTS**

Document No.	<u>Date</u>	Country
0 905 673 A1	03-31-99	Europe
04-010671	01-14-92	Japan
04-247486	09-03-92	Japan
05-315643	11-26-93	Japan
05-290669	11-05-93	Japan
06-504139	05-12-94	Japan
07-110660	04-25-95	Japan
07-263754	10-13-95	Japan
08-107293	04-26-96	Japan
08-264841	10-11-96	Japan
09-293904	11-11-97	Japan
10-070151	03-10-98	Japan
10-163536	06-19-98	Japan
10-173305	06-26-98	Japan
10-256694	09-25-98	Japan
10-305620	11-17-98	Japan
11-8338	01-12-99	Japan
11-126037	05-11-99	Japan
11-142878	05-28-99	Japan
11-219146	08-10-99	Japan
56-17385	02-19-81	Japan
60-181778	09-17-85	Japan
2000-89693	03-31-00	Japan
WO 95/05623	02-23-95	PCT

#### **OTHER DOCUMENTS**

Applied Physics Letters, Vol. 76, Number 22, 29 May 2000, "Selective growth of InGaN quantum dot structures and their microphotoluminescence at room temperature, Tachibani et al., pages 3212-3214.

Date: October 1, 2003

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

 $\mathbf{RV}$ 

Christopher S. Hermanson

Reg. No. 48,244

P.O. Box 1135 Chicago, Illinois 60690-1135

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## INFORMATION DISCLOSURE CITATION E IN AN APPLICATION

ge several sheets if necessary)

PTO Form 1449

	Atty Docket No.	Application No.				
	112857-306	10/024,690				
	Applicant					
	Iwafuchi et al.					
Filing Date Group						
	Dec. 14, 2001	2825				

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ی ما	<u> </u>		U.S. PA	TENT DOCUMENTS			
Initials		Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
		5,206,749	04-27-93	Zavracky et al.			
	•	5,258,320	11-02-93	Zavracky et al.		-	0 -1
		5,438,241	08-01-95	Zavracky et al.			067
		5,739,800	04-14-98	Lebby et al.	,		0 , 0
		6,204,079	03-2001	Aspar et al.			-8 2003 MAIL R
		6,277,711	08-2001	Wu, Jiahn-Chang			ROCM
		6,283,693	09-2001	Acello et al.			3
		2001/0018229	08-2001	Kato et al.			
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		FOREIGN	PATENT DOCUMEN	TS			
Examiner's	Document	Publication				Trans	lation
Initials	Number	Date	Country	Class	Subclass	Yes	No
	0 905 673 A1	03-31-99	Europe				
	04-010671	01-14-92	Japan				
	04-247486	09-03-92	Japan				
	05-315643	11-26-93	Japan				
	05-290669	11-05-93	Japan				
	06-504139	05-12-94	Japan				
	07-110660	04-25-95	Japan				
	07-263754	10-13-95	Japan				
	08-107293	04-26-96	Japan				
	08-264841	10-11-96	Japan				
	09-293904	11-11-97	Japan			-	

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<sup>\*</sup>Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) 1449

	Atty Docket No.	Application No.
	112857-306	10/024,690
	Applicant	-
빙	Iwafuchi	et al.
OFFICE PFICE	Filing Date	Group
7	Dec. 14, 2001	2825

		FOREIGN	PATENT DOCUME	ENTS			
Examiner's	Document	Publication				Trans	lation
Initials	Number	Date	Country	Class	Subclass	Yes	No
	10-070151	03-10-98	Japan				
	10-163536	06-19-98	Japan				
	10-173305	06-26-98	Japan				
	10-256694	09-25-98	Japan			١, ١	
	10-305620	11-17-98	Japan			2	2 1
	11-8338	01-12-99	Japan			2800	7
	11-126037	05-11-99	Japan			74.01	
	11-142878	05-28-99	Japan			7	1263
	11-219146	08-10-99	Japan			9	2
	56-17385	02-19-81	Japan				
	60-181778	09-17-85	Japan				
	2000-89693	03-31-00	Japan				
	WO 95/05623	02-23-95	PCT				

Examiner's	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
Initials	
	Applied Physics Letters, Vol. 76, Number 22, 29 May 2000, "Selective growth of InGaN quantum dot structures and their microphotoluminescence at room temperature, Tachibani et al., pages 3212-3214.

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li	F INFORMATION DISCLO Inder 37 CFR 1.97(b) or 1.97	111	Docket No. 112857-306
In Re Application Of: I	wafuchi et al.	OCT O 3 2003	OFFICE OV
Serial No.	Filing Date	Examiner TRADEMA	Group Art Unit
10/024,690	December 14, 2001	C. Everhart	2825
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	Ac	ddress to:	MA NI
		sioner for Patents D. Box 1450	ED 2003
	Alexandria	a, VA 22313-1450	200
	37 C	FR 1.97(b)	<b>3</b>
three months of application; befo	the date of entry of the national re the mailing of a first Office Ac illing of a request for continued ex	prosecution application under 37 of stage as set forth in 37 CFR 1.49 tion on the merits, or before the maxamination under 37 CFR 1.114.	1 in an international
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CFR 1.97(b), pro Final Action und	ovided that the Information Disc	I herewith is being filed after the peclosure Statement is filed before the Allowance under 37 CFR 1.311 and is accompanied by one of:	e mailing date of a
☐ the statem	ent specified in 37 CFR 1.97(e);		
	OR	•	
★ the fee set	t forth in 37 CFR 1.17(p).		
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))  Docket No. 112857-306							
In Re Application: I	wafuchi et al.	OCT O 3 20	MOFFICE O				
Serial No. 10/024,690	Filing Date December 14, 2001	Examiner  C. Everhart	Group Art Unit				
A METHOD OF TRANSFERRING A DEVICE, A METHOD OF PRODUCING A DEVICE HOLDING SUBSTRATE, AND A DEVICE HOLDING SUBSTRATE							
□ The Director is he as described belo □ Charge th □ Credit any □ Charge a □ Certificate of □      □ Certify that this docume account is being facsir	The Director is hereby authorized to charge and credit Deposit Account No. 02-1818  as described below.  Charge the amount of  Credit any overpayment.  Charge any additional fee required.  Certificate of Transmission by Facsimile*  Certificate of Mailing by First Class Mail  I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States  Patent and Trademark Office (F:  Certificate of Mailing by First Class Mail  I certify that this document and fee is being deposited on Oct. 1, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.						
Typed or Printed N	ame of Person Signing Certificate	Renee Street  Typed or Printed Name of Person	Mailing Certificate				
*This certificate may only be used if paying by deposit account.  Dated: October 1, 2003  Christopher S. Hermanson (Reg. No. 48,244) Bell, Boyd & Lloyd LLC P.O. Box 1135 Chicago, Illinois 60690-1135 (312) 807-4225 (312) 827-8185							

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))  Docket No. 112857-306					
In Re Application Of: Iwafuchi et al.					
Serial No.	Filing Date	Examiner EXAMENTAL TRANS	Group Art Unit		
10/024,690	December 14, 2001	C. Everhart	2825		
Title: A METHOD OF TRANSFERRING A DEVICE, A METHOD OF PRODUCING A DEVICE HOLDING					
SUBSTRATE, AND A DEVICE HOLDING SUBSTRATE					
			ECI OCI 2800		
Address to:  Commissioner for Patents  P.O. Box 1450  Alexandria, VA 22313-1450  37 CFR 1 97(b)					
		FR 1.97(b)			
The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.					
37 CFR 1.97(c)					
2. A The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:					
the statement specified in 37 CFR 1.97(e);					
OR					
the fee set forth in 37 CFR 1.17(p).					
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))  Docket No. 112857-306					
In Re Application: Iwafuchi et al.					
Serial No. 10/024,690	Filing Date December 14, 2001	Examiner  C. Everhart	Group Art Unit 2825		
A METHOD OF TRANSFERRING A DEVICE, A METHOD OF PRODUCING A DEVICE HOLDING  SUBSTRATE, AND A DEVICE HOLDING SUBSTRATE					
Payment of Fee  (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))  A check in the amount of \$180.00 is attached.  The Director is hereby authorized to charge and credit Deposit Account No. 02-1818  as described below.  Charge the amount of  Credit any overpayment.  Charge any additional fee required.  Certificate of Transmission by Facsimile*  Certificate of Mailing by First Class Mail  I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:  (Date)					
	Signature	Signature of Person Mailing Correspondence  Renee Street			
Typed or Printed N	ame of Person Signing Certificate	Typed or Printed Name of Person Mailing Certificate			
deposit account.		Dated: October 1, 2003			
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